

#### (19) World Intellectual Property Organization International Bureau



#### (43) International Publication Date 19 April 2001 (19.04.2001)

#### PCT

# (10) International Publication Number WO 01/26562 A1

- (51) International Patent Classification7: A61B 17/08
- (21) International Application Number: PCT/US00/27669
- (22) International Filing Date: 6 October 2000 (06.10.2000)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 60/158,644

8 October 1999 (08.10.1999) US

- (71) Applicant (for all designated States except US): THE GENERAL HOSPITAL CORPORATION d/b/a MASSACHUSETTS GENERAL HOSPITAL [US/US]: 55 Fruit Street, Boston, MA 02114 (US).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): FAN, Chieh-Min [US/US]; 32 Fruit Street, Boston, MA 02114 (US).
- (74) Agents: FALKOFF, Michael, I. et al.; Nutter, McClennen & Fish, LLP, One International Place, Boston, MA 02110-2699 (US).

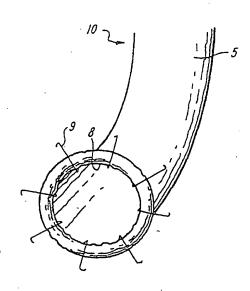
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

#### Published:

- With international search report.
- Before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

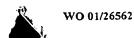
#### (54) Title: PERCUTANEOUS STENT GRAFT AND METHOD FOR VASCULAR BYPASS



(57) Abstract: A prosthetic vessel graft assembly (10) has a tube of synthetic graft material (5) surrounding a stent (8) at its distal end, together with a plurality of collapside anchors (9) projecting radially from the stent. The assembly mounts on an introducer (30) that may be tunneled through skin or inserted in an opening and pushed down to a vessel for introducing the graft through the vessel side wall. The introducer (30) is configured to follow a guide wire (35) which is inserted via a needle through an opening in the side wall of the vessel. A peel-away sheath (33) covers the stent, graft, and anchor members at the distal end keeping them collapsed against the tubular body. The proximal end of the graft tube may have a blood compatible fitting or connector, or may have a reinforced band or collar suitable for suturing or other surgical joining technique.

WO 01/26562 A1

PCT/US00/27669



### PERCUTANEOUS STENT GRAFT AND METHOD FOR VASCULAR BYPASS

#### BACKGROUND OF THE INVENTION

The present invention relates to methods and procedures for accessing and forming a bypass in a vessel or between vessels.

There are a great number of circulatory conditions requiring surgical intervention to place a graft either as a complete substitute for a dysfunctional vessel, a bypass about a stenotic portion of a vessel, or a replacement for a surgically removed portion of the vessel. For the most part, such vascular grafts are placed surgically, entailing surgical exposure of the native vessel at the junction sites, surgical arteriotomy or venotomy to open the vessel, and suturing of the graft material to the vessel or to tissue served by the vessel. A great number of prosthetic grafts have been developed to address problems such as the apportionment of flow at branching junctions, the matching of vessels of different sizes when such junction is necessary, and the fitting of vessels to a region of tissue such as the wall of a cardiac chamber, so as to establish a more or less natural blood flow.

In addition, recently a number of approaches have been proposed or developed for forming a junction with a natural vessel, or a bypass between points of one or more vessels, by percutaneous or interstitial access. For example, United States Patent Nos. 5,443,497 of Venbrux and 5,755,775 of Trerotola et al. illustrate such devices wherein an acutely angled Y-junction or an expandable stent junction is inserted at a low ... angle to the side of a vessel and secured to form a connecting port or conduit. Percutaneous access and installation are effected, for example, by using a peel-away sheath to release the penetrating junction member, in a manner similar to that of various tunneling trocar placement procedures conventionally used for routing prosthetic vessels through muscle tissue. However, these approaches essentially create Y-junctions, using a structure analogous to a in-vessel stent to effect a seal along the remaining open portion of the vessel. This access geometry with a low angle of entry necessarily entails a long incision in the vessel side wall and requires an oblique and possibly traumatic access route through surrounding tissue for installation along a smoothly contoured path. The Y- geometry entrance may further partially obstruct a vessel or divert flow in an irregular or inappropriate manner.

ia

20

25

30



30

25

30

Accordingly, it would be desirable to provide a method and system for percutaneous access to a blood vessel for establishing a graft bypass or shunt with the vessel.

It would further be desirable to provide such a system adaptable to diverse geometry, or adaptable to arterial bypass, arterial to veinous grafts for dialysis access, and veinous to veinous grafts for occlusions or stenosis.

It would also be desirable to provide such a system forming a true end-to-side anastomosis.

#### SUMMARY OF THE INVENTION

One or more of these desirable objects are achieved in accordance with the present invention by a prosthetic vessel graft having a tube of synthetic graft material surrounding a self expanding stent. The graft and stent are mounted on a stiff introducer member which may be tunneled through skin or inserted in an opening and pushed down to a vessel for introducing the graft through the side wall of a vessel. Preferably the introducer has a hollow core and is configured to follow a guide wire which may be initially inserted by conventional techniques up to and through an opening in the side wall of the vessel. A peel-away sheath covers the stent and graft, and the stent has a plurality of collapsible anchor members at its distal end in the form of wire-like arms or hooks which initially are held tightly against the circumference of the stent and which spring outwardly in a radial direction when released from the sheath. In use, the intravascular end of the graft is inserted through an opening in the side of the vessel and the sheath is removed to release the anchors and secure the graft as a pure end-to-side anastomosis to the vessel

In a preferred practice of the invention, a basic graft unit consists of the prosthesis tube and anchoring stent within the tube at one end thereof. The other (extravascular) end of the graft tube may have a blood compatible fitting or connector, or may have a reinforced band or collar suitable for suturing or other surgical joining technique. Two such prostheses are employed in a bypass procedure, and one is installed as described above at each vessel access point of an intended shunt or bypass. The two extravascular ends and bodies of the respective prostheses are then tunneled through tissue to a common point at a proximal cut-down or opening. Each segment is





. 5

20

25

30

then back-flushed with saline and the ends are joined to each other, by suturing or by a quick-connect fitting that is attached or preattached to each of the prostheses, thus connecting them into a single continuous bypass or shunt. The opening may then be closed.

#### BRIEF DESCRIPTION OF THE DRAWINGS

These and other features of the invention will be understood from the discussion below taken together with the drawings and claims herein, wherein:

Figure 1 shows a distal end view of one embodiment of a prosthetic graft in accordance with the present invention;

Figure 2 shows a side view thereof before deployment;

Figure 3 shows a side view thereof after deployment;

Figure 4 shows a schematic and partially cut-away view of the graft connected to a vessel;

Figure 5 shows a delivery system and graft of the invention;

Figures 6A-6D illustrate the method of deployment; and

Figures 7A-7B illustrate further steps in forming a shunt or port utilizing plural grafts of the invention.

#### **DETAILED DESCRIPTION**

Figure 1 shows an end view of a graft in accordance with the present invention. As illustrated the graft 10 has a generally tubular form with an outer layer or skin 5 formed of a synthetic polymer of a type conventionally used or suitable for a vessel prosthesis, and an inner stent structure 8 at the distal end that provides shape and support for the layer 5. The layer 5 may be made of knitted or woven dacron, porous expanded PTFE, various hybrid constructions or other suitable prosthesis material. The inner stent structure 8 includes a generally annular circumferential support band and further includes a number of radially projecting arms or anchor hooks 9 extending therefrom. The anchor hooks or arms 9 are illustrated as bare wires, but in practice applicant contemplates that they may be coated, or preferably are covered by a membrane (e.g., the PTFE "onionskin" membrane commonly used as a wrap or reinforcement, and/or by other material similar to layer 5 or having some porosity, compliance or suitable

mechanical or biological properties) to provide continuity with the main tubular layers of the graft as well a certain amount of tissue compatibility and sealing, as described further below. In addition to the stent and anchoring structures 8, 9 at the distal end of the graft, the same stent structure, or one or more separate and additional stents or stent rings, may extend to or be placed along the intermediate or proximal length of the graft to maintain its shape.

Figure 2 illustrates the graft 10 of Figure 1 in a side view, showing the arms 9 collapsed inwardly against the cylindrical body of the graft. As discussed further below, this is the normal orientation of the arms for shipping, handling and initial installation. Figure 3 shows the stent with the arms 9 in an outward or extended position. This sagital cross section further illustrates the preferred construction, with one or more membranes 9a forming an umbrella-like seal of skin continuous with the graft wall 5 and positioned to extend as a prosthesis flange covering a band against the vessel wall surrounding the point of vessel penetration.

In use, the method of the present invention proceeds by inserting graft 10 through an opening in the side wall of a natural vessel 20 (Figure 4) to form a true end-to-side anastomosis therewith. As shown in Figure 4, when installed in this fashion, the anchor hooks 9 and membrane 9a reside inside of the vessel 20 and bear against the inner wall 20a of the vessel, thus preventing dislodgement of the graft 10 from the vessel. Figure 4 further shows a second graft 10' in a perspective view from outside the vessel. As shown, the grafts 10, 10' join the vessel at substantially normal incidence. Further, as seen in the partial cut away view, within the vessel the graft ending lies closely against and fastens tangent to the endoluminal surface of the vessel wall without projecting into the blood flow lumen 21.

)

5

30

The stent 10 is delivered by a delivery system 100 in accordance with a method of the present invention as shown in Figure 5. Generally the stent is collapsed as shown in Figure 2 for delivery to the vessel site and deployment through the vessel wall. The stent is placed onto a stiff but preferably flexible introducer 30 having a trocar-like penetration end 31 with a shape capable of enlarging and entering an opening in the wall of the vessel, and the graft assembly is covered with a peel-away sheath 33 which maintains the anchor arms 9 retracted flat against the stent wall. The sheath 33 also provides a smooth, frictionless and nonabrading surface along the outside of the



assembly for atraumatic introduction through tissue or along an incision to the vessel entry site. The introducer 30 is sufficiently stiff to transfer axially-directed pushing force and to allow steering manipulation of the device. It may also have a central guide wire lumen to allow it to initially position, or to subsequently follow, a guide wire.

Generally, applicant contemplates that for deployment of the stent assembly to a vessel, for example to a femoral, popliteal or axillary artery for creating a connection or shunt, and to a vein for creating an AV dialysis graft or a veinous bypass, the precise site of placement will be accessed by a method similar to existing angiographic catheter and wire introduction techniques. This may be a modified Seldinger technique, involving a cut down to or accessing of the vessel with a needle (not shown) and guide wire 35. Once the guide wire has been placed within the vessel, the introducer may be placed over and follow the guide wire to the entry point and dilate it to admit the end of the stent graft introducer 30, positioning the distal end of the stent graft within the vessel. The procedure may be visualized fluoroscopically to assure that the distal graft end and arms 9 have passed beyond the puncture site and are sufficiently within the vessel. The proximal end of the graft is then clamped to control blood loss, the introducer 30 is removed and the peel-away sheath 33 is removed under fluoroscopic observation to release the anchor hooks 9 from their constrained position so that they open outwardly and assume a radial disposition.

Preferably, the distal stent and anchor assembly is formed of a shape memory alloy which self-deploys. In particular, the arms 9 change to a hook-anchor radially-extending orientation when released by removal of the sheath. The annular support portion of the stent 8 may also be configured to self-deploy upon release such that it assumes a larger diameter, or so that it exerts an outward resilient force in the tubular neck region at the vessel entry. After removal of the sheath 33, the graft is then pulled back to engage the hooks against the inner vessel wall and seal the graft assembly tightly against the inner wall of the vessel in the region surrounding the graft entry site. The exterior surface of the graft in the distal region may be coated with suitable growth enhancers, surgical tissue glue, or other biocompatible or bioactive material, as appropriate to enhance tissue sealing.

For forming a bypass or shunt, a similar or identical stent graft is attached to another vessel or another point on the same vessel, depending upon the desired type of



graft, and once both anastomoses are anchored at their respective vessel entry points, the stent grafts are tunneled subcutaneously and externalized through a single skin incision. This tunneling procedure is similar to that used in interventional radiology for installing veinous access devices. The externalized ends of the stented grafts are then back-bled to flush out accumulated clot material, and are joined to form a single vessel-to-vessel graft. They may be end-to-end anastomosed with sutures, or connected with a mechanical connector device such as a suitable snap-ring, quick-connect, or other mechanical vessel connector assembly. The completed bypass is then dropped back into the tunnel and the skin incision is closed.

Figures 6A through 6C illustrate such guide wire introduction of the graft to the vessel, and show the removal of the introducer and release of the anchor arms.

Figure 6D shows the completed end-to-side anastomosis. Figures 7A and 7B illustrate the connection of two stented grafts via a sutured or mechanical snap ring connection to form a bypass or shunt as described above.

This completes a basic description of one implementation of a percutaneous stent graft and method of forming vessel-to-vessel grafts in accordance with the present invention. The invention being thus disclosed, variations and modifications will occur to those skilled in the art, and all such variations and modifications are considered to be within the scope of the invention, as defined by the claims appended hereto and equivalents thereof.

What is claimed is:



5

25

30

#### **CLAIMS**

1. A prosthetic vessel comprising

a generally tubular prosthesis formed of biocompatible synthetic material

a stent carried within an end of said tubular prosthesis, said stent including a first section arranged generally coaxial with said tubular portion, and

an anchor portion comprising a plurality of gripping elements configured to self-deploy and extend radially from said tubular portion for securing the end of the graft to a vessel in an end-to-side anastomosis when inserted through an opening in the vessel side wall.

- 2. The prosthetic vessel of claim 1, wherein said gripping elements are integral with said stent.
- 3. The prosthetic vessel of claim 2, wherein said gripping elements are formed of shape memory alloy.
- 4. The prosthetic vessel of claim 3, wherein said stent is formed of shape memory alloy.
- 5. The prosthetic vessel of claim 1, wherein said stent and gripping elements are positioned at a distal end of the generally tubular prosthesis to form a self-anchoring inner flange for end-to-side anastomosis of the prosthetic vessel.
- 6. The prosthetic vessel of claim 5, further comprising one or more stents located in a proximal portion of said generally tubular prosthesis.
- 7. The prosthetic vessel of claim 3, further comprising a peel-away sheath maintaining said gripping elements compacted for percutaneous introduction.
- 8. A prosthetic vessel set comprising

a first generally tubular prosthesis and a second generally tubular prosthesis, said first and second prostheses being formed of biocompatible material and each including a stent carried within an end of said tubular prosthesis, said stent including a first section arranged generally coaxial with said tubular portion, and an anchor portion comprising a plurality of gripping elements configured to self-deploy and extend radially from said tubular portion for securing the end of the graft to a vessel in an end-to-side anastomosis when inserted through an opening in the vessel side wall, and wherein an end of each of said prostheses is adapted for end to end connection to the other of said prostheses.

9. A method for forming a vessel bypass graft or shunt, such method comprising percutaneously inserting a first vessel graft to an opening in a vessel and anchoring the vessel graft in an end-to-side anastomosis with a self expanding anchor structure projecting from an end of the vessel graft

percutaneously inserting a second vessel graft to a second vessel location and anchoring the second vessel graft at the second vessel location by expansion of an anchor member to hold the second vessel graft in an end-to-side anastomosis, and joining the first and second vessel grafts to form said bypass or shunt.

10. The method of claim 9, further comprising the step of tunneling at least one of said first and second vessel grafts to a common opening before joining said grafts to each other.

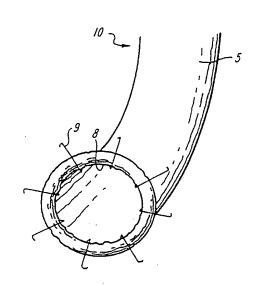


FIG. I

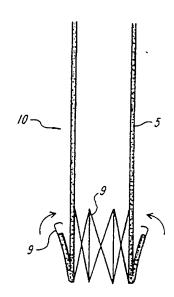
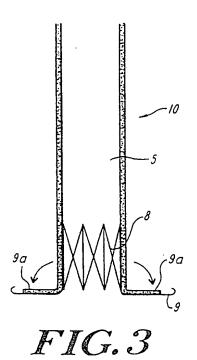


FIG.2

SUBSTITUTE SHEET (RULE 26)



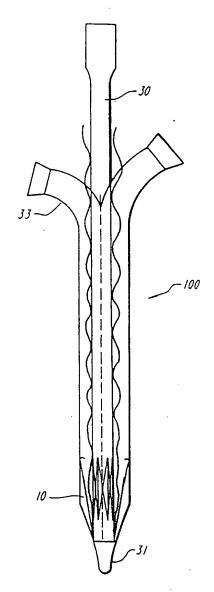
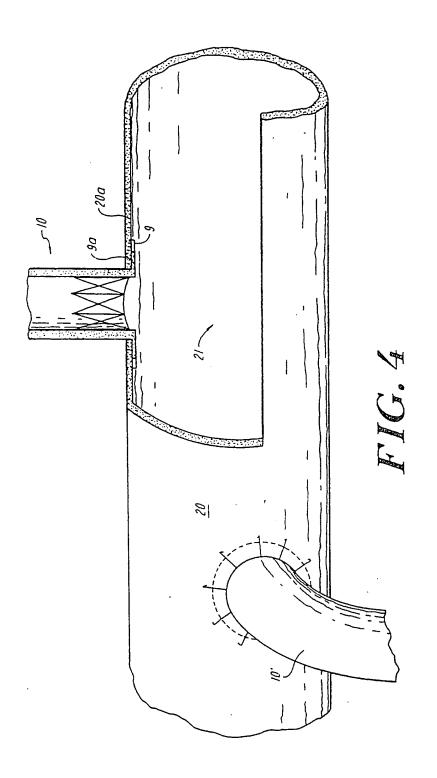
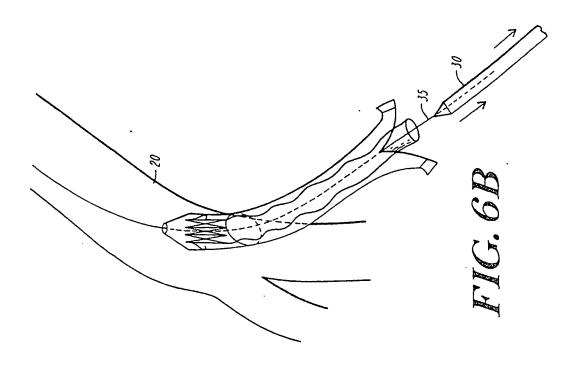


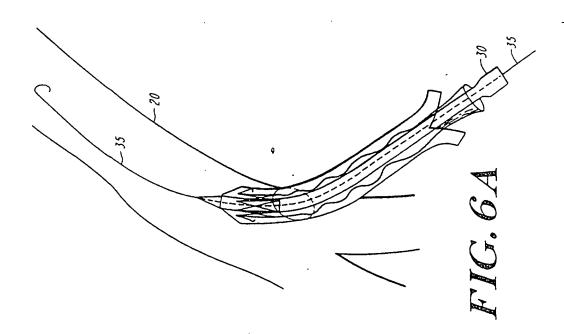
FIG.5

SUBSTITUTE SHEET (RULE 26)

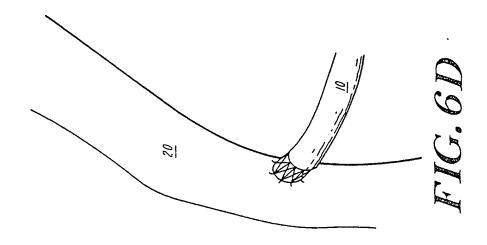


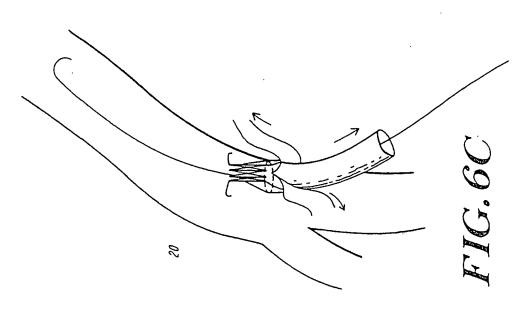
SUBSTITUTE SHEET (RULE 26)



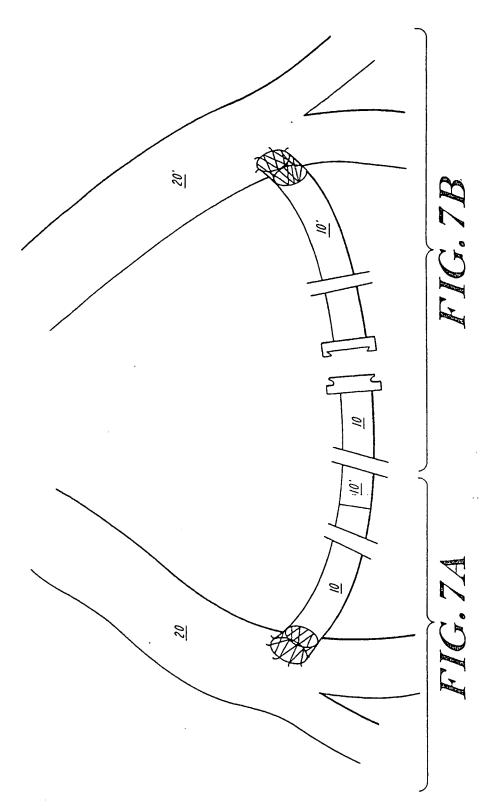


SUBSTITUTE SHEET (RULE 26)





SUBSTITUTE SHEET (RULE 26)



SUBSTITUTE SHEET (RULE 26)

# INTERNATIONAL SEARCH REPORT

International application No. PCT/US00/27669

	SSIFICATION OF SUBJECT MATTER				
IPC(7) US CL	:A61B 17/08 :- 606/153				
	o International Patent Classification (IPC) or to bot	h national elections			
B. FIEL	DS SEARCHED	in trational classification and IPC			
Minimum d	ocumentation searched (classification system follow	ed by classification symbols			
U.S. :	606/153, 152; 623/1.35, 1.36, 1.13, 1.14	o, onesineation symbols)			
Dague					
Documentat	ion searched other than minimum documentation to the	ne extent that such documents are included	in the fields searched		
Electronic d	ata base consulted during the international search (	name of data base and when			
EAST	,	manie of data base and, where practicable	e, search terms used)		
C. DOC	UMENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where a	appropriate, of the relevant passages	Relevant to claim No.		
X,E	US 6,152,937 A (PETERSON et al.)	28 November 2000, fig.14.	1-5		
X,P	US 6,113,612 A (SWANSON et al.) 05 September 2000, fig. 11.				
X,P	US 6,004,347 A (MCNAMARA et al.) 21 December 1999, figs. 1 and 13.		1-6		
X,P	US 6,036,702A (BACHINSKI et al.) 14 March 2000, fig. 34a		1-5		
Y	US 5,782,847 A (PLAIA et al.) 21 July 1998, fig.27.		7		
Y	US 4,470,415 A (WOZANIK) 11 September 1984, figs. 1 and 2.		8-10		
X Furth	er documents are listed in the continuation of Box (	C. See patent family annex.			
	cial categories of cited documents:	*T* later document published after the inter	national filing date or priority		
*A* document defining the general state of the ert which is not considered to be of particular relevance date and not in conflict with the application but eited to understand the principle or theory underlying the invention					
.E. cet	ier document published on or after the international filing date	"X" document of particular relevance; the considered novel or cannot be considered.	claimed invention cannot be		
٠.٠	ument which may throw doubts on priority claim(s) or which is d to establish the publication dete of another citation or other	when the document is taken sions	İ		
.O. qoc	ument referring to an oral disclosure, use, exhibition or other	Y' document of particular relevance; the considered to involve an inventive	step when the document is		
'P' doc	ament published prior to the international filing data but later than	combined with one or more other such being obvious to a person skilled in th	• eu		
	priority data claimed actual completion of the international search	'&' document member of the same petent			
		Date of mailing of the international sear			
	MBER 2000	1 05	FEB 2001		
Commission	ailing address of the ISA/US er of Patents and Trademarks	Authorized officer	80		
Washington,	D.C. 20231	LIEN NGO	rocus		
Facsimile No	(.05/ 505 5250	Telephone No. (703) 305-0294			
orm PCT/IS	A/210 (second sheet) (July 1998)*	· · · · · · · · · · · · · · · · · · ·			

## INTERNATIONAL SEARCH REPORT

International application No. PCT/US00/27669

ategory*	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No	
	US 4,787,386 A (WALSH et al.) 29 November 1988, figs. 10-		12. 8-10	
•				
•	***			
		·		

Form PCT/ISA/210 (continuation of second sheet) (July 1998) \*